

# FEDERAL ELECTION COMMISSION Washington DC 20463

THIS IS THE BEGINN	IING OF ADM	INISTRATIVE FINE CASE # 2796
DATE SCANNED		
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# FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

2014 JUN 16 AM 10: 38

June 13, 2014

### **MEMORANDUM**

SENCITIVE

TO:

The Commission

THROUGH:

Alec Palmer

Staff Director

FROM:

Patricia C. Orrock PO/NUI

Chief Compliance Officer

Debbie Chacona DC/wg Assistant Staff Director Reports Analysis Division

KOR

BY:

Kristin D. Roser/Sari Pickerall

Reports Analysis Division

Compliance Branch

SUBJECT:

Reason To Believe Recommendation - 2014 April Quarterly Report for the

Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2014 April Quarterly Report in accordance with 2 U.S.C. 434(a). The 2014 April Quarterly Report was due on April 15, 2014.

The committees listed in the attached RTB Circulation Report either failed to file the report, filed the report — no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

### Recommendation

- 1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- 2. Send the appropriate letters.

6/13/2014 3:24 PM

Reason to Believe Circulation Report 2014 APRIL QUARTERLY Not Election Sensitive 04/15/2014 H\_S\_P\_UNAUTH Federal Election Commission

RTB Penalty	\$340	09£\$		\$1,090	008'6\$
LOA	\$31,700	\$43,335		\$32,572	\$345,309 (est)
Days Late	2	8	•	Not Filed	Not Filed
PV   Receipt Date   Days Late	4/22/2014	4/23/2014	·	5/19/2014	
₹	0	0	,	0	0
Threshold	\$211,767	\$138,983		\$128,594	\$690,617
Treasurer	DR. JOHN S. RUTKAUSKAS	JOHN W. GREENWALD		WILLIAM O'FIELD	JONATHAN ZUCKER
Candidate Name		GARDNER, ARTHUR AUGUSTINE			
Committee Name	AMERICAN ACADEMY OF PEDIATRIC DENTISTRY POLITICAL ACTION COMMITTEE	ART GARDNER 2014		DC DEMOCRATIC STATE COMMITTEE	DEMOCRACY ENGINE, INC., PAC
Committee ID	2791 C00365965	2792   C00551002		2795 C00295964	2796 C00468314
ပ	, 0	0			

8 \$117	\$260	2687
\$2,548	\$11,617	d \$21,136
18	30	Not Filed
5/3/2014	5/15/2014	5/29/2014
0	0	
\$152,342	\$108,597	\$139,426
MICHELLE	THOMAS	MARK R. PERMAR
MCCASKILL, CLAIRE		
2800 C00544056 MCCASKILL SENATE FUND	PATRIOT SUPER PAC	2802 C00192849 PIPEFITTERS LOCAL UNION 74
0544056	2801 C00509489	:00192849
8	U	0

\$220

\$13,250

22

5/7/2014

0

\$160,695

J. CHARLES GRAY

GRAYROBINSON, P.A., POLITICAL ACTION COMMITTEE

2798 C00224790

•						İ		Į		ĺ
2804	2804   C00518027	STEVE SALAZAR FOR CONGRESS	SALAZAR, STEVE	ROSARIO RODRIGUEZ	\$110,712	4		Not Filed	\$110,712 (est)	006'6\$
2805	2805 C00550830	TEXANS FOR STOVALL	STOVALL, DWAYNE BRADLEY	KATHERINE BRACKEEN STOVALL	\$172,334	0	4/25/2014	10	\$58,841	\$1,255
806	C00361949	2806 C00361949 TRIUMPH GROUP INC PAC		CLAY CRAWFORD	\$285,599	-	5/5/2014	20	\$44,338	\$750

Page 1 of 2

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AF#	AF# Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	<u>₹</u>	Threshold PV Receipt Date Days Late	Days Late	LOA	RTB Penalty	
							ļ				_
		UNITED FRESH PRODUCE		Tababa						1	
2808	2808   C00040725	POLITICAL ACTION		GUENTHER	\$149,073	0	5/31/2014	Not Filed	\$14,946	\$550	
		COMMITTEE (FRESH PAC)									

## BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of  Reason To Believe Recommendation - 2014 April Quarterly Report for the Administrative Fine Program: AMERICAN ACADEMY OF PEDIATRIC DENTISTRY POLITICAL ACTION COMMITTEE, and DR JOHN S RUTKAUSKAS as treasurer; ART GARDNER 2014, and JOHN W GREENWALD as treasurer;	))))))))	AF# 2791 AF# 2792
DC DEMOCRATIC STATE COMMITTEE, and O'FIELD, WILLIAM as treasurer; DEMOCRACY ENGINE, INC., PAC, and ZUCKER, JONATHAN as treasurer;	) ) )	AF# 2795 AF# 2796
GRAYROBINSON, P.A., POLITICAL ACTION COMMITTEE, and GRAY, J. CHARLES as treasurer;	)	AF# 2798
MCCASKILL SENATE FUND, and MICHELLE SHEROD as treasurer; PATRIOT SUPER PAC, and FREILING, THOMAS as treasurer; PLUMBERS AND PIPEFITTERS LOCAL UNION 74, and MR MARK R PERMAR as treasurer;	)))))	AF# 2800 AF# 2801 AF# 2802

Certification for Administrative Fines			
June 17, 2014			
STEVE SALAZAR FOR CONGRESS, and ROSARIO RODRIGUEZ as treasurer;	)	AF# 2804	
TEXANS FOR STOVALL, and KATHERINE BRACKEEN STOVALL as	)	AF# 2805	
treasurer;	)	1 T// 2004	
TRIUMPH GROUP INC PAC, and CRAWFORD, CLAY MR as treasurer;	)	AF# 2806	
·			
VALUEDA ER PAUL DA CAVIGE		4 TH 0000	
UNITED FRESH PRODUCE ASSOCIATION FRESH POLITICAL	)	AF# 2808	
ACTION COMMITTEE (FRESH PAC), and ROBERT GUENTHER as treasurer:	)		

### **CERTIFICATION**

I, Shelley E. Garr, Acting Secretary and Clerk of the Federal Election

Commission, do hereby certify that on June 17, 2014 the Commission took the

following actions on the Reason To Believe Recommendation - 2014 April Quarterly

Report for the Administrative Fine Program as recommended in the Reports Analysis

Division's Memorandum dated June 13, 2014, on the following committees:

AF#2791 Decided by a vote of 6-0 to: (1) find reason to believe that AMERICAN ACADEMY OF PEDIATRIC DENTISTRY POLITICAL ACTION COMMITTEE, and DR JOHN S RUTKAUSKAS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2792 Decided by a vote of 6-0 to: (1) find reason to believe that ART GARDNER 2014, and JOHN W GREENWALD as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

14092700417

AF#2795 Decided by a vote of 6-0 to: (1) find reason to believe that DC DEMOCRATIC STATE COMMITTEE, and O'FIELD, WILLIAM as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2796 Decided by a vote of 6-0 to: (1) find reason to believe that DEMOCRACY ENGINE, INC., PAC, and ZUCKER, JONATHAN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2798 Decided by a vote of 6-0 to: (1) find reason to believe that GRAYROBINSON, P.A., POLITICAL ACTION COMMITTEE, and GRAY, J. CHARLES as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2800 Decided by a vote of 6-0 to: (1) find reason to believe that MCCASKILL SENATE FUND, and MICHELLE SHEROD as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2801 Decided by a vote of 6-0 to: (1) find reason to believe that PATRIOT SUPER PAC, and FREILING, THOMAS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2802 Decided by a vote of 6-0 to: (1) find reason to believe that PLUMBERS AND PIPEFITTERS LOCAL UNION 74, and MR MARK R PERMAR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2804 Decided by a vote of 6-0 to: (1) find reason to believe that STEVE SALAZAR FOR CONGRESS, and ROSARIO RODRIGUEZ as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2805 Decided by a vote of 6-0 to: (1) find reason to believe that TEXANS FOR STOVALL, and KATHERINE BRACKEEN STOVALL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2806 Decided by a vote of 6-0 to: (1) find reason to believe that TRIUMPH GROUP INC PAC, and CRAWFORD, CLAY MR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

14092700419

AF#2808 Decided by a vote of 6-0 to: (1) find reason to believe that UNITED FRESH PRODUCE ASSOCIATION FRESH POLITICAL ACTION COMMITTEE (FRESH PAC), and ROBERT GUENTHER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Shelley E. Garr

Acting Secretary and Clerk of the

Commission



# FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

June 18, 2014

Jonathan Zucker, in official capacity as Treasurer Democracy Engine, Inc., PAC 850 Quincy Street, NW, #402 Washington, DC 20011

C00468314 AF#: 2796

Dear Mr. Zucker:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file an April Quarterly Report of Receipts and Disbursements in a calendar year during which there is a regularly scheduled election. This report, covering the period January 1, 2014 through March 31, 2014, shall be filed no later than April 15, 2014. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was not filed within thirty (30) days of the due date. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On June 17, 2014, the FEC found that there is reason to believe ("RTB") that Democracy Engine, Inc., PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before April 15, 2014. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$9,800. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. 11 CFR § 111.34. Your payment of \$9,800 is due within forty (40) days of the finding, or by July 27, 2014, and is based on these factors:

Sensitivity of Report: Not Election Sensitive

Level of Activity: \$345,309 Number of Days Late: Not Filed

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

### 1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your

committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or July 27, 2014. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

### 2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Democracy Engine, Inc., PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

### 3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

### NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

### 4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

### 5. Settlement Offers

If you make a payment in an amount less than the calculated civil money penalty as an offer to settle or compromise a debt owed to the Commission, the offer is herewith rejected despite any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Lee E Good

Lee E. Goodman Chairman

### ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$9,800 for the 2014 April Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by July 27, 2014. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

### **PAYMENTS BY PERSONAL CHECK**

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

### PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Democracy Engine, Inc., PAC

FEC ID#: C00468314

AF#: 2796

PAYMENT DUE DATE: July 27, 2014

PAYMENT AMOUNT DUE: \$9,800



# FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463



2014 SEP 10 PH 5: 19

September 10, 2014

### **MEMORANDUM**

SENCE THE

TO:

The Commission

THROUGH:

Alec Palmer 47000

Staff Director

FROM:

Patricia C. Orrock 400

Chief Compliance Officer

Debbie Chacona AC
Assistant Staff Director
Reports Analysis Division

WDP-

BY:

Kristin D. Roser/Sari Pickerall

Reports Analysis Division Compliance Branch

SUBJECT:

Administrative Fine Program - Final Determination Recommendation for the

2014 April Quarterly Report

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2014 April Quarterly Report. The first list represents the committees that have paid the civil money penalty and the second list represents the committees that have not paid the civil money penalty. The committees that have not paid have been given at least forty (40) days from the date of the Commission's RTB finding to remit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

RAD would like to recommend further explanation and a specific course of action for one of the committees outlined on the attached second list:

 Steve Salazar for Congress (AF 2804) is the principal campaign committee ("PCC") for a candidate who appeared on the ballot in the 2012 Texas Primary election held on May 29, 2012. Mr. Salazar did not appear on the ballot for the Runoff election on July 31, 2014 or the General election held on November 6, 2012. This committee currently has outstanding Administrative Fines (AF cases 2554, 2754, 2759, 2767 for which the civil money penalties total \$34,648.00. AF cases 2554, 2754, and 2759 have been transferred to the U. S. Department of the Treasury ("Treasury") for collection.

Please note that the last report filed by the above committee with the Commission was a 2012 Pre-Primary Report (Texas), filed on May 18, 2012. The Committee filed paper 2012 July Quarterly, 2012 October Quarterly and 2012 Year-End Reports with no activity on December 14, 2012. RAD sent a Notice of Paper Filing on December 21, 2012. Since then, the Committee has stopped filing and did not file in 2013 or 2014.

As such, RAD recommends that the Commission take no further action against Steve Salazar for Congress and permit RAD to desist from pursuing this committee in future Administrative Fine extraction reports.

For your information, four (4) committees disclosed a level of activity after the RTB finding which would result in a lowered civil money penalty. Of these, three (3) committees will be assessed a civil money penalty at Final Determination (FD) that has been reduced since the RTB finding, and one (1) committee will be assessed a civil money penalty of \$0 at FD. An overview of each of these cases has been provided below.

Democracy Engine, Inc., PAC (AF 2796) filed the 2014 April Quarterly Report after the RTB finding and disclosed an actual level of activity which would result in a lowered civil money penalty than that assessed at RTB. The committee disclosed total receipts and disbursements of \$118,562 (previously estimated to be \$345,309), thus the fine would be lowered from \$9,800 to \$4,950.

Please contact Debbie Chacona at extension 1145 with any questions.

### **RAD Recommendation**

- (1) Take no further action against Steve Salazar for Congress (AF 2804) and exclude this committee from future Administrative Fine Reason to Believe recommendations.
- (2) Make a final determination that the political committees and their treasurers listed on the attached reports violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) and assess the final civil money penalties so indicated.
- (3) Make a final determination that the political committee and its treasurer listed on the attached report with no activity, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) and will not be assessed a civil money penalty.
- (4) Send the appropriate letters.

# Federal Election Commission FD Circulation Report Fine Paid 2014 APRIL QUARTERLY Not Election Sensitive 04/15/2014 H\_S\_P\_UNAUTH

AF#	Committee Name	Candidate Name	Committee	Treasurer	Receipt Date	Days Late	LOA	≥	RTB Date	RTB Date RTB Penalty Final Money	Final Money	Date Paid	Amount
			۵				1	$\dagger$			Leisani		
	AMERICAN ACADEMY OF												
2791	PEDIATRIC DENTISTRY		C00365965	JOHN S. RUTKAUSKAS	04/22/2014	7	\$31,700	•	06/17/2014	\$340	\$340	06/30/2014	\$340
	POLITICAL ACTION												
2792	ART GARDNER 2014	GARDNER, ARTHUR AUGUSTINE	C00551002	JOHN W. GREENWALD	04/23/2014	82	\$43,335	•	06/17/2014	\$360	\$360	07/15/2014	\$360
2795	DC DEMOCRATIC STATE COMMITTEE		C00295964	WILLIAM O'FIELD	05/19/2014	Not Filed	\$32,572	0	06/17/2014	\$1,090	\$1,090	07/29/2014	\$1,090
27.0B	GRAYROBINSON, P.A.		C00224790	1 CHARIES GRAY	05/07/2014	22	\$13,250	-	06/17/2014	\$220	\$220	07/14/2014	\$220
06/7	COMMITTEE		22000	d. Clearing Stori				┪					
2800	MCCASKILI SENATE FLIND	MCCASKILL CLAIRE	C00544056	MICHELLE SHEROD	05/03/2014	18	\$2,548	0	06/17/2014	\$117	\$117	07/07/2014	\$117
2801	PATRIOT SUPER PAC		C00509489	THOMAS FREILING	05/15/2014	30	\$11,617	0	06/17/2014	\$260	\$260	09/09/2014	\$260
	PLUMBERS AND			a section a signature	0.0000011	Legion 1	201 100	-	A100171190	C687	CGB7	08/01/2014	4887
2802	2802 PIPEFITTERS LOCAL UNION		C00192849	MAKK K. PEKMAK	#1 02/E2/C0	אסו בוופס	321,136		107/100	è		2000	
2805	TEXANS F	STOVALL, DWAYNE	C00550830	KATHERINE BRACKEEN	04/25/2014	10	\$58.841	0	06/17/2014	\$1,255	\$1,255	07/28/2014	\$1,255
2806	TRIUMPH GROUP INC PAC		C00361949	CLAY CRAWFORD	05/05/2014	20	\$44,338	-	06/17/2014	\$750	\$750	07/01/2014	\$750
	UNITED FRESH PRODUCE												
2808	۷		C00040725	ROBERT GUENTHER	05/31/2014	Not Filed	\$14,946	0	06/17/2014	\$550	\$550	07/28/2014	\$550
}	POLITICAL ACTION												
	COMMITTEE (ERESH PAC)							1					

Federal Election Commission
FD Circulation Report Fine Not Paid
2014 APRIL QUARTERLY Not Election Sensitive 04/15/2014 H\_S\_P\_UNAUTH

alty	٥	
FD Pen	\$4,950	NFA
Days Since RTB	88	88
RTB Penalty	89,800	\$9,900
PV RTB Date RTB Penalty Days Since FD Penalty RTB	0 06/17/2014 \$9,800	06/17/2014 \$9,900
₹	0	4
ГОА	\$118,562	Not Filed \$110,712 (est) 4
Days Late	Not Filed	Not Filed
Receipt Date Days Late	06/21/2014	
Treasurer	JONATHAN ZUCKER 06/21/2014 Not Filed	ROSARIO RODRIGUEZ
Committee	C00468314	C00518027
Candidate Name		SALAZAR, STEVE
Committee Name	2796 DEMOCRACY ENGINE, INC.,	STEVE SALAZAR FOR CONGRESS
AF#	2796 Di	2804

### BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of  Administrative Fine Program - Final Determination Recommendation for the April Quarterly Report: AMERICAN ACADEMY OF PEDIATRIC DENTISTRY POLITICAL ACTION COMMITTEE, and RUTKAUSKAS,	))))))	AF# 2791
JOHN S. as treasurer; ART GARDNER 2014, and JOHN W GREENWALD as treasurer;	)	AF# 2792
DC DEMOCRATIC STATE COMMITTEE, and O'FIELD, WILLIAM as treasurer;	)	AF# 2795
DEMOCRACY ENGINE, INC., PAC, and ZUCKER, JONATHAN as treasurer;	)	AF# 2796
GRAYROBINSON, P.A., POLITICAL ACTION COMMITTEE, and GRAY, J. CHARLES as treasurer;	) ) )	AF# 2798
MCCASKILL SENATE FUND, and MICHELLE SHEROD as treasurer;	)	AF# 2800
PATRIOT SUPER PAC, and FREILING, THOMAS as treasurer;	)	AF# 2801
PLUMBERS AND PIPEFITTERS LOCAL UNION 74, and MR MARK R PERMAR as treasurer;	)	AF# 2802
TEXANS FOR STOVALL, and KATHERINE BRACKEEN STOVALL as treasurer;	) )	AF# 2805
TRIUMPH GROUP INC PAC, and CRAWFORD, CLAY MR as treasurer;	)	AF# 2806

UNITED FRESH PRODUCE	)	AF# 2808
ASSOCIATION FRESH POLITICAL	)	
ACTION COMMITTEE (FRESH PAC),	)	
and ROBERT GUENTHER as treasurer;	)	

### **AMENDED CERTIFICATION**

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election

Commission, do hereby certify that on September 12, 2014 the Commission took the
following actions on the Administrative Fine Program - Final Determination

Recommendation for the April Quarterly Report as recommended in the Reports

Analysis Division's Memorandum dated September 10, 2014, on the following

committees:

AF#2791 Decided by a vote of 6-0 to: (1) make a final determination that AMERICAN ACADEMY OF PEDIATRIC DENTISTRY POLITICAL ACTION COMMITTEE, and RUTKAUSKAS, JOHN S. as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2792 Decided by a vote of 6-0 to: (1) make a final determination that ART GARDNER 2014, and JOHN W GREENWALD as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2795 Decided by a vote of 6-0 to: (1) make a final determination that DC DEMOCRATIC STATE COMMITTEE, and O'FIELD, WILLIAM as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2796 Decided by a vote of 6-0 to: (1) make a final determination that DEMOCRACY ENGINE, INC., PAC, and ZUCKER, JONATHAN as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2798 Decided by a vote of 6-0 to: (1) make a final determination that GRAYROBINSON, P.A., POLITICAL ACTION COMMITTEE, and GRAY, J. CHARLES as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2800 Decided by a vote of 6-0 to: (1) make a final determination that MCCASKILL SENATE FUND, and MICHELLE SHEROD as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Federal Election Commission Certification for Administrative Fines September 12, 2014

AF#2801 Decided by a vote of 6-0 to: (1) make a final determination that PATRIOT SUPER PAC, and FREILING, THOMAS as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2802 Decided by a vote of 6-0 to: (1) make a final determination that PLUMBERS AND PIPEFITTERS LOCAL UNION 74, and MR MARK R PERMAR as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2805 Decided by a vote of 6-0 to: (1) make a final determination that TEXANS FOR STOVALL, and KATHERINE BRACKEEN STOVALL as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2806 Decided by a vote of 6-0 to: (1) make a final determination that TRIUMPH GROUP INC PAC, and CRAWFORD, CLAY MR as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2808 Decided by a vote of 6-0 to: (1) make a final determination that UNITED FRESH PRODUCE ASSOCIATION FRESH POLITICAL ACTION COMMITTEE (FRESH PAC), and ROBERT GUENTHER as treasurer, violated 52 U.S.C. 30104(a) (formerly 2 U.S.C. 434(a)) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Federal Election Commission Certification for Administrative Fines September 12, 2014

Attest:

Shawn Woodhead Werth

Secretary and Clerk of the Commission



September 16, 2014

Jonathan Zucker, in official capacity as Treasurer Democracy Engine, Inc., PAC 850 Quincy Street, NW, #402 Washington, DC 20011

C00468314 AF#: 2796

Dear Mr. Zucker:

On June 17, 2014, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Democracy Engine, Inc., PAC and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) for filing late or failing to file the 2014 April Quarterly Report. By letter dated June 18, 2014, the Commission notified you of the RTB finding and the preliminary civil money penalty calculated at the RTB stage to be \$9,800 in accordance with the schedule of penalties at 11 CFR § 111.43. Within forty (40) days of the FEC's RTB finding, you were required to either transmit payment of the calculated civil money penalty or submit a written response challenging either the RTB finding or the calculated civil money penalty.

The FEC did not receive payment of the calculated civil money penalty or a written response within the time permitted. Since that time, the amount of the civil money penalty has been changed to reflect the actual level of activity of the 2014 April Quarterly Report. The FEC made a final determination on September 12, 2014 that Democracy Engine, Inc., PAC and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) (formerly 2 U.S.C. § 434(a)) and assessed a civil money penalty in the amount of \$4,950 in accordance with 11 CFR § 111.43. The civil money penalty is based on these factors:

Election Sensitivity of Report: Not Election Sensitive

Level of Activity: \$118,562

Number of Days Late: Not Filed (reports not filed within thirty (30) days of the due date are

considered not filed for the purposes of calculating the penalty)

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within thirty (30) days of receipt of this letter, to the district court of the United States for the district in which the committee or you reside, or transact business, requesting that the final determination be modified or set aside. See 52 U.S.C. § 30109g(a)(4)(C)(iii) (formerly 2 U.S.C. § 437g(a)(4)(C)(iii)). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of the respondents' right to present such argument in a petition to the district court under 52 U.S.C. § 30109g (formerly 2 U.S.C. § 437g). 11 CFR § 111.38.

### 2. If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within thirty (30) days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within five (5) days of the transfer to Treasury, Treasury will contact you to request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

Actions which may be taken to enforce recovery of a delinquent debt by Treasury may also include: (1) offset of any payments, which the debtor is due, including tax refunds and salary; (2) referral of the debt to agency counsel for litigation; (3) reporting of the debt to a credit bureau; (4) administrative wage garnishment; and (5) reporting of the debt, if discharged, to the IRS as potential taxable income. In addition, under the provisions of DCIA and other statutes applicable to the FEC, the debtor may be subject to the assessment of other statutory interest, penalties, and administrative costs.

In accordance with the DCIA, at your request, the agency will offer you the opportunity to inspect and copy records relating to the debt, the opportunity for a review of the debt, and the opportunity to enter into a written repayment agreement.

### 3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, send the enclosed remittance form, along with your payment, to the address on page 4 within thirty (30) days of receipt of this letter.

### NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

### 4. Partial Payments

If you make a payment in an amount less than the civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assessed upon making a final determination.

### 5. Settlement Offers

If you make a payment in an amount less than the civil money penalty as an offer to settle or compromise a debt owed to the Commission, the offer is herewith rejected despite any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assessed upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

The confidentiality provisions 52 U.S.C. § 30109g(a)(12) (formerly 2 U.S.C. § 437g(a)(12)) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 CFR § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Sari Pickerall at Federal Election Commission, 999 E St., NW, Washington, DC 20463, or our toll free number (800) 424-9530 (at the prompt, press 5) or (202) 694-1130.

On behalf of the Commission,

Lee E How

Lee E. Goodman Chairman

### ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the civil money penalty is \$4,950 for the 2014 April Quarterly Report.

This penalty should be paid by check or money order made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission PO Box 979058 St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The form and payment are due within thirty (30) days of receipt of this letter.

### **Payments by Personal Check**

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

### PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Democracy Engine, Inc., PAC

FEC ID#: C00468314

AF#: 2796

PAYMENT AMOUNT DUE: \$4,950

FEC ID#: C00468314

AF#: 2796

PAYMENT AMOUNT DUE: \$4,950

FEDERAL ELECTION COMMISSION

Lockbox: GLX-979058 Ledger Date 09/25/2014

Amount \$4,950.00 Batch Total \$4,950.00 TID Y-3093299 Item Batch

St. Louis GA Lockbox (314) 425-1818



# FEDERAL ELECTION COMMISSION Washington DC 20463

THIS IS THE END OF	ADMINISTRATIVE FINE CASE # 2796	
DATE SCANNED	10/31/14	
SCANNER NO.		
SCAN OPERATOR	133	